

PATENT -- NO FEE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

NEIL R. ANDERSON ET AL.

Serial No.: 10/031,463

Filed: January 17, 2002

For: **\beta-Carboline drug products** 

Attorney Docket No. 29342/36539A

Group Art Unit: Unassigned

Examiner: Unassigned

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage, as first class mail, in an envelope addressed to:

Commissioner for Patents

Washington, D.C. 20231.

Dated: August 1, 002

James J. Napoli Registration No. 32,361 Attorney for Applicants

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to their duty of disclosure under 37 C.F.R. §1.56, applicants hereby bring to the examiner's attention patents that may be material to the examination of the above-identified application. Therefore, in compliance with 37 C.F.R. §1.97 and §1.98, applicants enclose a completed Form PTO-1449 listing the possibly pertinent patents and a copy of each patent.

This Information Disclosure Statement is submitted more than three months after the filing date of the above-identified application, and, to applicants' knowledge, before the mailing date of a first Office Action on the merits. Therefore, under 37 C.F.R.

§1.97(b), this Information Disclosure Statement shall be considered by the Patent Office.

The Commissioner, however, is hereby authorized to charge any fee which may be required during the pendency of this application under 37 C.F.R. 1.16 or 37 C.F.R. 1.17 to Deposit Account No. 13-2855. A duplicate copy of this Transmittal is enclosed herewith.

A copy of the International Search Report is enclosed for the convenience of the examiner and to complete the file.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN

By

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Chicago, Illinois August 1, 2002

m 1449PTO Complete if Known 10/031,463 **Application Number** INFORMATION DISCLOSURE January 17, 2002 Filing Date STATEMENT BY APPLICANT Neil R. Anderson et al. First Named Inventor 3735 Group Art Unit (use as many sheets as necessary) Unassigned Examiner Name 29342/36539A Attorney Docket Number Sheet of

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY		
		5,859,006	01/12/99		
		5,985,326	11/16/99		

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		WO 96/38131	12/05/96			
		2,293,103 (UK)	03/20/96			

OTHER PRIOR ART – NONPATENT LITERATURE DOCUMENTS					
Examiner nitials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through conformance and not considered. Include copy of this form with next communication to applicant.